

AO-98
Rev. 1/2004

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

| | | |
|---|---|---|
| 1. Person Reporting (Last name, First name, Middle initial) Gaitan, Fernando J | 2. Court or Organization Western District of Missouri | 3. Date of Report 5/6/2005 |
| 4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active | 5. Report Type (check appropriate type) <input type="radio"/> Nonrecurring Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final | 6. Reporting Period 1/1/2004 to 12/31/2004 |
| 7. Chambers or Office Address United States Courthouse 400 E. 9th Street Kansas City, MO 64106 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

| POSITION | NAME OF ORGANIZATION/ENTITY |
|-----------------------|--|
| 1. Board of Directors | St. Luke's Health System, Inc. (Formerly St. Luke's Shawnee Mission Health System, Inc.) |
| 2. Board of Directors | The Harry S. Truman Library Institute |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|------|-------------------|
| 1. | |

RECEIVED
 Mar 16 1 43 PM '05
FINANCIAL DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Gaitan, Fernando J

Date of Report

5/6/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(year, not spouse)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Galian, Fernando J

Date of Report

5/4/2005

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. | | |

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1. | | |

FINANCIAL DISCLOSURE REPORT
Page 1 of 1

Name of Person Reporting
 Galan, Fernando J

Date of Report
 3/6/2003

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 14-17 of filing instructions.)

| A. Description of Assets (including trust assets) Place "XX" after each asset average, from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|--|---|---|---|---|---|-------------------------------|---------------------------------|------------------------------------|--|
| | (1) Amount Code 1 (A-D) | (2) Type (e.g. div, int, or net) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, merge, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A- M) | (5) Monthly reported of gross transactions |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions) | | | | | | | | | |
| 1. Credit Union #1(BRA) (CD) | B | Interest | J | T | | | | | |
| 2. AT&T | A | Dividend | J | T | | | | | |
| 3. SBC | A | Dividend | J | T | | | | | |
| 4. Verizon (formerly known as Bell Atlantic) | A | Dividend | J | T | | | | | |
| 5. Bell South | A | Dividend | J | T | | | | | |
| 6. Ameritech | | None | | | Donated | | | | |
| 7. U.S. West | | None | | | Donated | | | | |
| 8. Lucent Technologies | | None | | | Donated | | | | |

| | | | | | |
|------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|--------------------------------|
| 1. Income/Gain Codes | A - \$1,000 or less | B - \$1,001-\$2,500 | C - \$2,501-\$5,000 | D - \$5,001-\$10,000 | E - \$10,001-\$50,000 |
| (See Columns B) and D) | F - \$50,001-\$100,000 | G - \$100,001-\$1,000,000 | H - \$1,000,001-\$10,000,000 | I - \$10,000,001-\$50,000,000 | J - More than \$50,000,000 |
| 2. Value Codes | J - \$15,000 or less | K - \$15,001-\$50,000 | L - \$50,001-\$100,000 | M - \$100,001-\$250,000 | N - \$250,001-\$500,000 |
| (See Columns C) and D) | O - \$500,001-\$1,000,000 | P - \$1,000,001-\$5,000,000 | Q - \$5,000,001-\$25,000,000 | R - \$25,000,001-\$50,000,000 | S - \$50,000,001-\$100,000,000 |
| 3. Value Method Codes | Q - Appraisal | R - Cost (Real Estate Only) | S - Assessment | T - Cash/Market | |
| (See Column C) | U - Book Value | V - Other | W - Unlisted | | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Guitan, Fernando J

Date of Report

5/6/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Galán, Fernando J

Date of Report

5/6/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5-10-05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF MISSOURI
CHARLES EVANS WHITTAKER UNITED STATES COURTHOUSE
400 E. 9TH STREET
KANSAS CITY, MISSOURI 64106

FERNANDO J. GAITAN, JR.
DISTRICT JUDGE

(PHONE) 312-564-1000
(FAX) 312-564-1001

August 24, 2005

Judge Mary M. Lisi, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL
DISCLOSURE OFFICE

2005 AUG 31 - A 11:12

RECEIVED

Re: Amendment to Financial Disclosure Report for Calendar Year 2004

Dear Judge Lisi:

In response to your letter of August 18, 2005, I am forwarding an amended financial disclosure form to reflect the name of the financial institution where my CD is maintained. This amendment may be found in Part VII, page 1, line 1 as requested.



AMENDED

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004**

 Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

 AO-10
Rev. 102004

| | | |
|--|---|---|
| 1. Person Reporting (Last name, First name, Middle initial) Gaitan, Fernando J | 2. Court or Organization Western District of Missouri | 3. Date of Report 8/24/2005 |
| 4. Title (Article III Judges indicate active or senior status; magistrates judges indicate full- or part-time) U.S. District Judge - active | 5. Report Type (check appropriate type) <input type="radio"/> Nomination, Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final | 6. Reporting Period 1/1/2004 to 12/31/2004 |
| 7. Chambers or Office Address United States Courthouse 400 E. 9th Street Kansas City, MO 64106 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

 NONE - (No reportable positions.)

| POSITION | NAME OF ORGANIZATION/ENTITY |
|-----------------------|--|
| 1. Board of Directors | St. Luke's Health System, Inc. (formerly St. Luke's Shawnee Mission Health System, Inc.) |
| 2. Board of Directors | The Harry S. Truman Library Institute |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

 NONE - (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|------|-------------------|
| 1. | |

RECEIVED
 2005 AUG 31 - A 11:12
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 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Gaitan, Fernando J

Date of Report

8/24/2005

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE/DATE

1.

FINANCIAL DISCLOSURE REPORT
Page 1 of 1

Name of Person Reporting
Galvan, Fernando J

Date of Report
8/24/2000

VII. INVESTMENTS and TRUSTS — Income, value, transactions (includes flow of the spouse and dependent children. See pp. 34-37 of filing instructions.)

| A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|-------------------------------|---------------------------------|------------------------------------|---|
| | (1) Asset Code 1 (A-F) | (2) Type (e.g. div, int, or net) | (1) Value Code 2 (J-P) | (2) Value Method Code 1 (Q-W) | (1) Type (e.g. buy, sell, transfer, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date Month- Day | (1) Value Code 2 (J-P) | (2) Gain Code 1 (A- H) | (3) Identity of counterparty (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions) | | | | | | | | | |
| 1. CSD Credit Union #0(BRA) (CD) | B | Interest | J | T | | | | | |
| 2. AT&T | A | Dividend | J | T | | | | | |
| 3. SBC | A | Dividend | J | T | | | | | |
| 4. Verizon (formerly known as Bell Atlantic) | A | Dividend | J | T | | | | | |
| 5. Bell South | A | Dividend | J | T | | | | | |
| 6. Ameritech | | None | | | Donated | | | | |
| 7. U.S. West | | None | | | Donated | | | | |
| 8. Lucent Technologies | | None | | | Donated | | | | |

| | | | | | |
|-------------------------|-------------------------|-----------------------------|----------------------------------|---------------------------------|---------------------------------|
| 1. Income/Value Codes | A - \$1,000 or less | B - \$1,001-\$2,000 | C - \$2,001-\$7,000 | D - \$7,001-\$17,000 | E - \$17,000-\$49,000 |
| (See Columns B1 and D4) | F - \$50,001-\$100,000 | G - \$100,001-\$1,000,000 | H - \$1,000,001-\$1,000,000,000 | I - \$1,000,001-\$1,000,000,000 | J - More than \$1,000,000 |
| 2. Value Codes | J - \$15,000 or less | K - \$15,001-\$50,000 | L - \$50,001-\$100,000 | M - \$100,001-\$250,000 | N - \$250,001-\$500,000 |
| (See Column C1 and E5) | H - \$150,000-\$500,000 | O - \$500,001-\$1,000,000 | P1 - \$1,000,001-\$1,000,000,000 | P2 - \$1,000,001-\$21,000,000 | P3 - \$21,000,001-\$250,000,000 |
| 3. Value Method Codes | Q - Appraised | R - Cost (Real Estate Only) | S - Amortized | T - Cash/Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Galán, Fernando J

Date of Report

8/24/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Gaitan, Fernando J

Date of Report

8/24/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

8-24-05

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